



FINANCIAL SERVICES COMMISSION

09 December 2024

Financial Sanction Notice

Directions from Financial Services Commission

Please be informed that 1 entry has been added to the Democratic Republic of the Congo financial sanction regime.

On 09 December 2024, the Foreign, Commonwealth and Development Office updated the UK Sanctions List on Gov.Uk. This list provides details of those details designated under regulations made under the Sanctions Act.

1 entry has been added to the Democratic Republic of the Congo financial sanction regime and is now subject to an asset freeze.

The consolidated list of asset freeze targets has been updated to reflect these changes.

The notice will also be placed on our website at www.fscmontserrat.org (<http://www.fscmontserrat.org>) under the heading "Sanctions" for your future reference.

Please be guided accordingly.



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Financial Sanction Notice

Democratic Republic of the Congo

Introduction

1. The Democratic Republic of the Congo (Sanctions) (EU Exit) Regulations 2019 (“the Regulations”) were made under the Sanctions and Anti-Money Laundering Act 2018 (“the Sanctions Act”) and provide for the imposition of financial sanctions, namely the freezing of funds and economic resources of persons involved in the commission of a serious human rights violation or abuse, or violation of international humanitarian law, in the Democratic Republic of the Congo; obstructing or undermining respect for democracy, the rule of law and good governance in the Democratic Republic of the Congo; or the production, manufacture or use in the Democratic Republic of the Congo of improvised explosive devices, or in the commission, planning, ordering, aiding, abetting or otherwise assistance of attacks in the Democratic Republic of the Congo with improvised explosive devices.
2. On 09 December 2024 the Foreign, Commonwealth and Development Office updated the UK Sanctions List on GOV.UK. This list provides details of those designated under regulations made under the Sanctions Act. A link to the UK Sanctions List can be found below.
3. Following the publication of the UK Sanctions List, information on the Consolidated List has been updated.

Notice summary

4. The following entry has been added to the Consolidated List and is now subject to an asset freeze:
 - Alain Francois Viviane GOETZ (Group ID: 16710)

What you must do

5. You must:
 - i. check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the Annex to this Notice and any entities owned or controlled by them;
 - ii. freeze such accounts, and other funds or economic resources;
 - iii. refrain from dealing with the funds or economic resources or making them available directly or indirectly to or for the benefit of designated persons unless licensed by the Office of Financial Sanctions Implementation (OFSI) or if an exception applies;
 - iv. report any findings to OFSI, together with the information or other matter on which the knowledge or suspicion is based. Where the information relates to funds or economic resources, the nature and quantity should also be reported.
6. Information received by OFSI may be disclosed to third parties in accordance with provisions set out in the Information and Records part of the regulations and in compliance with applicable data protection laws.
7. Failure to comply with UK financial sanctions legislation or to seek to circumvent its provisions may be a criminal offence.

Further Information

8. Copies of recent notices, UK legislation and relevant guidance can be obtained from the Democratic Republic of the Congo financial sanctions page on the GOV.UK website: <https://www.gov.uk/government/collections/financial-sanctions-regime-specific-consolidated-lists-and-releases>.
9. Further details on the UN measures in respect of the Democratic Republic of the Congo can be found on the relevant UN Sanctions Committee webpage: <https://www.un.org/securitycouncil/sanctions/information>.
10. The UN Consolidated List can be found here: <https://www.un.org/securitycouncil/content/list-updates-unsc-consolidated-list>.
11. The Consolidated List can be found here:

<https://www.gov.uk/government/publications/financial-sanctions-consolidated-list-of-targets/consolidated-list-of-targets>.

12. The UK Sanctions List can be found here:

<https://www.gov.uk/government/publications/the-uk-sanctions-list>.

13. The Compliance Reporting Form can be found here:

<https://www.gov.uk/guidance/suspected-breach-of-financial-sanctions-what-to-do>.

14. For more information please see our financial sanctions

guidance: <https://www.gov.uk/government/publications/financial-sanctions-faqs>.

Enquiries

15. Non-media enquiries about the implementation of financial sanctions in the UK should be addressed to:

**Her Excellency, the Governor
The Governor's Office
#8 Farara Plaza
Brades, MSR 1110
E-Mail: michelle.webster@fcdo.gov.uk**

ANNEX TO NOTICE

**FINANCIAL SANCTIONS: DEMOCRATIC REPUBLIC OF THE CONGO
THE DEMOCRATIC REPUBLIC OF THE CONGO (SANCTIONS) (EU EXIT)**

REGULATIONS 2019 ADDITION

Individual

1. GOETZ, Alain Francois Viviane

Name (non-Latin script): Alain François Viviane GOETZ

DOB: (1) 20/04/1965. (2) 24/04/1965. **a.k.a:** (1) GOETZ, Alain (2) GOZ, Alen **Nationality:**
(1) Turkey

(2) Belgium **Passport Number:** (1) EP985086 (2) 50641895930 **National Identification**

Number: 784196536027277 **National Identification Details:** United Arab Emirates

Address: The Palm Jumeirah 0-35, Villa 39, Frond N, The Palm Jumeirah, United Arab Emirates, 65919. **Other Information:** (UK Sanctions List Ref): DRC0072. (UK Statement

of Reasons): Alain Francois Viviane GOETZ is an involved person under the Democratic Republic of the Congo (Sanctions) (EU Exit) Regulations 2019 on the basis of the following

ground: GOETZ is or has been involved in providing financial services, or making available funds or economic resources, that could contribute to any serious human rights violation

or abuse, any violation of international humanitarian law or obstructing or undermining respect for democracy, the rule of law and good governance in the Democratic Republic

of the Congo. Specifically, GOETZ, through his involvement in the global gold market and the illicit trade of gold, is or has been involved in contributing to serious human rights

violations and obstructing or undermining respect for democracy, the rule of law and good governance in the DRC. (Email address): alain@goetz.be (Gender): Male **Listed on:**

09/12/2024 **UK Sanctions List Date Designated:** 09/12/2024 **Last Updated:** 09/12/2024

Group ID: 16710.

Financial Services Commission

09/12/2024